

PERIODIC DISCLOSURES
FORM NL-32-DOWN GRADING OF INVESTMENT

Registration Number: 113
Statement as on: 30 Sep 2023
Statement of Down Graded Investments
Periodicity of Submission: Quarterly

Name of Fund _____

(Amount in Rs. Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	8% YES BANK INFRA BOND 30 SEPT 2026	IORD	7,503.15	30-Sep-16	ICRA	AA+	A-	06-Mar-20	CARE and CRISIL have upgraded rating to "A".
	9.85% RNWJ Ltd 31 Mar 2033 (CALL 31 Oct 2026)	ICTD	984.30	19-Oct-15	CARE	AA+	AA	27-Apr-21	CARE has downgraded the rating to AA

- Note:**
- 1** Provide details of Down Graded Investments during the Quarter.
 - 2** Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
 - 3** FORM shall be prepared in respect of each fund.
 - 4** Category of Investment (COI) shall be as per Guidelines issued by the Authority
 - 5** Investment Regulations, as amended from time to time, to be referred