

PERIODIC DISCLOSURES
FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Name of the Insurer: **BAJA ALLIANZ GENERAL INSURANCE COMPANY LIMITED**
Registration Number: **113**
Statement as on: **30 Sep 2023**
Statement of Investment and Income on Investment
Periodicity of Submission: **Quarterly**

Name of the Fund

(Amount in Rs. Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³					
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²		
A	Central Govt. Securities															
A01	Central Government Bonds	CGSB	1,508,947.29	25,628.41	1.70%	1.27%	1,537,607.64	52,108.45	3.39%	2.54%	1,127,870.05	36,874.53	3.27%	2.45%		
A04	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	19,739.16	361.05	1.83%	1.37%		
B	Central Govt. Sec, State Govt Sec or Other Approved															
B01	Central Government Guaranteed Loans / Bonds	CGSL	72,956.40	1,578.70	2.16%	1.62%	74,097.58	3,542.89	4.78%	3.58%	77,915.52	4,127.44	5.30%	3.96%		
B03	State Government Guaranteed Loans	SGGL	194,785.14	4,004.05	2.06%	1.54%	211,902.62	8,484.61	4.00%	3.00%	225,809.72	9,253.21	4.10%	3.07%		
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,319.76	26.92	2.04%	1.53%	1,318.71	53.69	4.07%	3.05%	1,316.50	53.36	4.05%	3.03%		
C	Housing & Loans to State Govt. for Housing & FFE															
C04	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	-	-	-	-	31,228.34	251.54	0.81%	0.60%	31,801.21	748.32	2.35%	1.76%		
C06	Debentures/Bonds/CPs/Loans - Promoter Group	HDPG	16,041.35	315.56	1.97%	1.47%	15,445.53	612.31	3.96%	2.97%	14,942.87	550.27	3.68%	2.76%		
C08	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	173,135.19	2,753.37	1.59%	1.19%	224,465.11	6,682.76	2.98%	2.23%	150,000.53	5,053.13	3.37%	2.52%		
C18	Reclassified Approved Investments - Debt	HORD	-	-	-	-	-	-	-	-	-	-	-	-		
C	Infrastructure Investments															
C20	Infrastructure - PSU - Equity shares - Quoted	ITPE	7,207.64	681.29	9.45%	7.07%	4,876.87	779.15	15.98%	11.96%	1,018.26	499.06	49.01%	36.67%		
C21	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	19,021.25	1,286.11	6.76%	5.06%	16,866.32	4,662.99	27.65%	20.69%	10,511.09	2,553.46	24.29%	18.18%		
C26	Long Term Bank Bonds Approved Investment Infrastructure	ILBI	45,125.27	862.59	1.91%	1.43%	48,939.53	1,911.37	3.91%	2.92%	23,229.15	934.40	4.02%	3.01%		
C27	Infrastructure- PSU- debentures / Bonds	IPTD	227,445.44	4,282.09	1.88%	1.41%	196,247.71	7,222.96	3.68%	2.75%	214,285.29	8,995.96	4.20%	3.14%		
C28	Infrastructure - PSU - CPs	IPCP	-	-	-	-	-	-	-	-	-	-	-	-		
C29	Infrastructure - Other Corporate Securities - Debentures/Bonds	ICTD	1,050.74	26.14	2.49%	1.86%	1,052.24	52.02	4.94%	3.70%	1,957.85	97.59	4.98%	3.73%		
C35	Infrastructure - Equity (including unlisted)	IOEQ	38.01	-	-	-	38.01	-	-	-	-	-	-	-		
C42	Reclassified Approved Investments - Debt	IORD	7,499.57	156.68	2.09%	1.56%	7,498.77	307.38	4.10%	3.07%	7,497.91	306.07	4.08%	3.05%		
D	Approved Investment Subject To Exposure Norms															
D01	PSU - Equity shares - Quoted	EAEQ	4,951.81	392.29	7.92%	5.93%	5,285.26	597.79	11.31%	8.46%	2,010.25	3.16	0.16%	0.12%		
D02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	221,592.21	7,942.77	3.58%	2.68%	204,002.08	20,947.54	10.27%	7.68%	125,276.27	26,117.94	20.85%	15.60%		
D05	Corporate Securities - Bonds - (Taxable)	EPBT	7,996.30	109.38	1.37%	1.02%	7,996.74	216.83	2.71%	2.03%	28,473.74	834.47	2.93%	2.19%		
D07	Corporate Securities - Preference Shares	EPNQ	-	-	-	-	3,299.46	64.78	1.96%	1.47%	3,299.23	131.56	3.99%	2.98%		
D09	Corporate Securities - Debentures	ECOS	255,756.31	4,367.19	1.71%	1.28%	170,005.30	5,024.44	2.96%	2.21%	159,783.10	4,863.30	3.04%	2.28%		
D10	Corporate Securities - Debentures/ Bonds/ CPs / Loan - Promoter group	EDPG	28,234.18	511.32	1.81%	1.36%	28,234.24	1,022.31	3.62%	2.71%	58,156.13	2,159.36	3.71%	2.78%		
D16	Deposits - Deposit with scheduled banks*(Incl. bank Balance awaiting investment), CCIL, RBI	ECDB	1,511.68	17.86	1.18%	0.88%	1,786.36	33.19	1.86%	1.39%	2,450.00	30.23	1.23%	0.92%		
D17	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	36,527.43	804.01	2.20%	1.65%		
D18	Deposits - Repo / Reverse Repo - Govt Securities	ECMR	19,892.88	318.12	1.60%	1.20%	19,870.87	633.71	3.19%	2.39%	46,489.14	1,020.90	2.20%	1.64%		
D22	Commercial Papers	ECCP	-	-	-	-	-	-	-	-	9,104.52	38.31	0.42%	0.31%		
D25	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	2,148.33	82.08	3.82%	2.86%		
D27	Perpetual Non-Cum. P.Shares & Redeemable Cumulative P.Shares of T	EPPS	3,298.49	66.00	2.00%	1.50%	3,298.49	66.00	2.00%	1.50%	-	-	0.00%	0.00%		
D29	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	50,880.58	532.91	1.05%	0.78%	45,357.17	607.40	1.34%	1.00%	92,886.89	3,159.66	3.40%	2.55%		
D30	Mutual Funds - (under Insurer's Promoter Group)	EMPG	7,433.27	77.20	1.04%	0.78%	7,433.27	77.20	1.04%	0.78%	-	-	-	-		
D32	Passively Managed Equity ETF (Non Promoter Group)	EETF	17,668.82	-	-	-	17,668.82	-	-	-	-	-	-	-		
D38	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	-	-	-	-	-	-	-	-	2,491.10	36.20	1.45%	1.09%		
E	Other Investments															
E03	Equity Shares (incl Co-op Societies)	OESH	400.34	22.18	5.54%	4.15%	400.34	22.18	5.54%	4.15%	1,171.45	(250.02)	-21.34%	-15.97%		
E04	Equity Shares (PSUs & Unlisted)	OEPU	5,396.07	2,946.19	54.60%	40.86%	5,151.64	2,944.97	57.17%	42.78%	8,429.37	159.01	1.89%	1.41%		
E06	Debentures	OLDB	4,796.34	116.48	2.43%	1.82%	4,796.81	229.08	4.78%	3.57%	2,341.20	106.00	4.53%	3.39%		
E19	Passively Managed Equity ETF (Non Promoter Group)	OETF	-	-	-	-	-	-	-	-	26,335.47	290.14	1.10%	0.82%		
E26	Reclassified Approved Investments - Equity	ORAE	15,696.42	241.75	1.54%	1.15%	12,919.42	1,062.18	8.22%	6.15%	8,421.07	1,129.32	13.41%	10.04%		
	TOTAL		2,893,982.61	59,263.56	2.05%	1.53%	2,837,385.80	120,221.72	4.24%	3.17%	2,505,660.85	111,123.47	4.43%	3.32%		

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted of Tax (using prescribed tax rates)

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account.

6 YTD Income on Investment does not include impairment provision of unlisted equity amounting to Rs.10.03 Cr (PY Rs.13.39 Cr)