

FORM L-9A-SHAREHOLDING PATTERN

DETAILS OF EQUITY HOLDING OF INSURERS

PART A:

**PARTICULARS OF THE SHREHOLDING PATTERN OF THE Bajaj Allianz Life Insurance Company Limited
INSURANCE COMPANY, AS AT QUARTER ENDED 30 September 2021**

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate: 1) Bajaj Finserv Limited (refer Note No. 1)	1	81,568,165	74	8,156.82	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate: 1) Allianz SE	1	28,659,085	26	2,865.91	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions	-	-	-	-	-	-	-	-
i)	Mutual Funds	-	-	-	-	-	-	-	-
ii)	Foreign Portfolio Investors	-	-	-	-	-	-	-	-
iii)	Financial Institutions/Banks	-	-	-	-	-	-	-	-
iv)	Insurance Companies	-	-	-	-	-	-	-	-
v)	FII belonging to Foreign promoter	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	-	-	-	-	-	-	-	-
ix)	Any other (Please specify)	-	-	-	-	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions	-	-	-	-	-	-	-	-
i)	Individual share capital upto Rs. 2 Lacs	-	-	-	-	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	-	-	-	-	-	-	-	-
iii)	NBFCs registered with RBI	-	-	-	-	-	-	-	-
iv)	Others: - Trusts - Non Resident Indian - Clearing Members - Non Resident Indian Non Repatriable - Bodies Corporate - IEPF	-	-	-	-	-	-	-	-
v)	Any other (Please Specify)	-	-	-	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
2.2)	Employee Benefit Trust	-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	Total	2	110,227,250	100	11,023	-	-	-	-

Foot Notes:

- (i) All holdings, above 1% of the paid up equity, have to be separately disclosed.
(ii) Indian Promoters - As defined under Regulation 2(1)(g) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000
(iii) Where a company is listed, the column "Shares pledged or otherwise encumbered" shall not be applicable to "Non Promoters" category

Note No. 1 - The number of shares include 600 equity shares jointly held (100 equity shares each by 6 shareholders as second joint-holder) with Bajaj Finserv Limited.

PARTICULARS OF THE SHAREHOLDING PATTERN IN THE INDIAN PROMOTER COMPANY(S) / INDIAN INVESTOR(S) AS INDICATED AT (A) ABOVE

PART B:

Name of the Indian Promoter / Indian Investor: Bajaj Finserv Limited

(Please repeat the tabulation in case of more than one Indian Promoter / Indian Investor)

Sl. No.	Category	No. of Investors	No. of shares held	% of share-holdings	Paid up equity (Rs. In lakhs)	Shares pledged or otherwise encumbered		Shares under Lock in Period	
						Number of shares (VI)	As a percentage of Total Shares held (VII) = (VI)/(III)*100	Number of shares (VIII)	As a percentage of Total Shares held (IX) = (VIII)/(III)*100
(I)	(II)		(III)	(IV)	(V)				
A	Promoters & Promoters Group								
A.1	Indian Promoters								
i)	Individuals/HUF (Names of major shareholders): (refer Annexure 1)	44	8,244,420	5.18	412	10,036	0.12	-	-
ii)	Bodies Corporate: (refer Annexure 2)	16	88,512,978	55.62	4,426	-	-	-	-
iii)	Financial Institutions/ Banks	-	-	-	-	-	-	-	-
iv)	Central Government/ State Government(s) / President of India	-	-	-	-	-	-	-	-
v)	Persons acting in concert (Please specify)	-	-	-	-	-	-	-	-
vi)	Any other (Please specify)	-	-	-	-	-	-	-	-
A.2	Foreign Promoters								
i)	Individuals (Name of major shareholders):	-	-	-	-	-	-	-	-
ii)	Bodies Corporate:	-	-	-	-	-	-	-	-
iii)	Any other (Please specify)	-	-	-	-	-	-	-	-
B.	Non Promoters								
B.1	Public Shareholders								
1.1)	Institutions								
i)	Mutual Funds	34	5,590,413	3.51	280	-	-	-	-
ii)	Foreign Portfolio Investors	535	14,188,302	8.92	709	-	-	-	-
iii)	Financial Institutions/Banks	13	19,951	0.01	1	-	-	-	-
iv)	Insurance Companies	5	2,739,243	1.72	137	-	-	-	-
v)	FII belonging to Foreign promoter #	-	-	-	-	-	-	-	-
vi)	FII belonging to Foreign Promoter of Indian Promoter #	-	-	-	-	-	-	-	-
vii)	Provident Fund/Pension Fund	-	-	-	-	-	-	-	-
viii)	Alternative Investment Fund	29	548,868	0.34	27	-	-	-	-
ix)	Any other (QIB)	23	1,689,165	1.06	84	-	-	-	-
1.2)	Central Government/ State Government(s)/ President of India	-	-	-	-	-	-	-	-
1.3)	Non-Institutions								
i)	Individual share capital upto Rs. 2 Lacs	193,186	13,518,248	8.49	676	-	-	-	-
ii)	Individual share capital in excess of Rs. 2 Lacs	67	12,470,080	7.84	624	-	-	-	-
iii)	NBFCs registered with RBI	3	476	0.00	0	-	-	-	-
iv)	Others:								
	- Trusts	35	1,367,897	0.86	68	-	-	-	-
	- Non Resident Indian	3,848	523,841	0.33	26	-	-	-	-
	- Clearing Members	155	108,033	0.07	5	-	-	-	-
	- Non Resident Indian Non Repatriable	2,243	620,633	0.39	31	-	-	-	-
	- Bodies Corporate	1,948	8,687,268	5.46	434	-	-	-	-
	- IEPF	1	96,816	0.06	5	-	-	-	-
v)	Any other (Please Specify)								
	OCB	1	75	0.00	-	-	-	-	-
	Foreign Bodies- DR	1	485	0.00	-	-	-	-	-
B.2	Non Public Shareholders								
2.1)	Custodian/DR Holder	-	-	-	-	-	-	-	-
	Employee Benefit Trust (BFS ESOP TRUST)	1	210,252	0.13	11	-	-	-	-
2.2)		-	-	-	-	-	-	-	-
2.3)	Any other (Please specify)	-	-	-	-	-	-	-	-
	Total	202,188	159,137,444	100	7,957	10,036	0.01	-	-

Note:

- At A.1 and A.2 of part B above, the names of individuals and bodies corporate must be specifically and separately mentioned.
 - Insurers are required to highlight the categories which fall within the purview of Regulation 11(1)(ii) of the Insurance Regulatory and Development Authority (Registration of Indian Insurance Companies) Regulations, 2000.
 - Details of investors (excluding employees holding under ESOP) have to be provided where the insurance company is unlisted.
 - Details of Indian investors, singly and jointly holding more than 1%, have to be provided where the insurance company is listed.
- # Please specify the names of the FIIS, indicating those FIIS which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.
- \$ Please specify the names of the OCBs, indicating those OCBs which belong to the Group of the Joint Venture partner / foreign investor of the Indian insurance company.

Annexure 1 - Indian Promoters - Individuals / HUF	
Name of Shareholder	No. of Shares held
Deepa Bajaj	25,025
Kiran Bajaj	423,360
Kriti Bajaj	38,500
Kumud Bajaj	50,000
Madhur Bajaj	595,045
Minal Bajaj	64,200
Neelima Bajaj Swamy	50,000
Nimisha Jaipuria	50,000
Niraj Bajaj	358,815
Niravnayan Bajaj	205,866
Rahul Kumar Bajaj	55,662
Rajivnayan Bajaj	115,318
Rishabhayan Bajaj	7,600
Sanjali Bajaj	51,500
Sanjivnayan Bajaj	417,279
Sheetal Nirav Bajaj	27,000
Shefali Bajaj	52,599
Shekhar Bajaj	227,426
Siddhantnayan Bajaj	51,500
Suman Jain	578,379
Sunaina Kejriwal	698,938
Vanraj Bajaj	23,436
Pooja Bajaj	10,036
Geetika Bajaj	247,900
Neelima Bajaj Family Trust (Kumud Bajaj)	363,024
Nimisha Trust (Madhur Bajaj)	1,400
Nimisha Bajaj Family Trust (Madhur Bajaj)	355,370
Kriti Bajaj Family Trust (Minal Bajaj)	100,000
Neelima Bajaj Swamy Family Trust (Neelima Bajaj Swamy)	232,019
Nimisha Jaipuria Family Trust (Nimisha Jaipuria)	238,273
Niravnayan Bajaj Family Trust (Niraj Bajaj)	550,000
Deepa Trust (Niraj Bajaj)	1,100
Kriti Trust (Niraj Bajaj)	35,700
Niravnayan Trust (Niraj Bajaj)	435,500
Rishab Trust (Rajivnayan Bajaj)	1,100
Rishab Family Trust (Rajivnayan Bajaj)	610,650
Sanjali Trust (Sanjivnayan Bajaj)	1,100
Siddhant Trust (Sanjivnayan Bajaj)	2,200
Sanjali Family Trust (Sanjivnayan Bajaj)	44,400
Siddhant Family Trust (Sanjivnayan Bajaj)	43,300
Geetika Shekhar Bajaj Trust (Shekhar Bajaj)	565,000
Nirvaan Trust (Sunaina Kejriwal)	100
Nirvaan Family Trust (Sunaina Kejriwal)	119,400
Aryaman Family Trust (Manish Kejriwal)	119,400
Total	8,244,420

Annexure 2 - Indian Promoters - Bodies corporate	
Name of Shareholder	No. of Shares
Bachhraj and Company Pvt Ltd	2,014,978
Bachhraj Factories Pvt Ltd	1,078,787
Bajaj Auto Holdings Ltd	209,005
Bajaj Holdings And Investment Ltd	62,314,214
Bajaj Sevashram Pvt Ltd	2,382,906
Baroda Industries Pvt Ltd	919,001
Hercules Hoists Ltd	92,063
Jamnalal Sons Pvt Ltd	15,345,384
Kamalnayan Investment & Trading Pvt Ltd	61,200
Maharashtra Scooters Limited	3,725,740
Madhur Securities Pvt Ltd	40,700
Niraj Holdings Pvt Ltd	10,300
Rahul Securities Pvt Ltd	143,000
Rupa Equities Pvt Ltd	137,400
Shekhar Holdings Pvt Ltd	30,300
The Hindustan Housing Company Ltd	8,000
Total	88,512,978