

**PERIODIC DISCLOSURES**  
**FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT**

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Name of the Insurer: **BAJAJ ALLIANZ GENERAL INSURANCE COMPANY LIMITED**  
Registration Number: **113**  
Statement as on: **30 September 2021**  
Statement of Investment and Income on Investment  
Periodicity of Submission: **Quarterly**

Name of the Fund \_\_\_\_\_

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) <sup>3</sup>				
			Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	Investment (Rs.) <sup>1</sup>	Income on Investment (Rs.)	Gross Yield (%) <sup>1</sup>	Net Yield (%) <sup>2</sup>	
<b>A</b>	<b>Central Govt. Securities</b>														
A01	Central Government Bonds	CGSB	864,039.67	15,368.85	1.78%	1.33%	817,487.66	27,865.82	3.41%	2.55%	521,915.20	25,854.48	4.95%	3.71%	
A04	Treasury Bills	CTRB	-	-	-	-	-	-	-	-	6,330.29	57.40	0.91%	0.68%	
<b>B</b>	<b>Central Govt. Sec, State Govt Sec or Other Approved</b>														
B01	Central Government Guaranteed Loans / Bonds	CGSL	88,909.50	2,566.61	2.89%	2.16%	107,967.38	6,097.93	5.65%	4.23%	145,267.84	6,777.58	4.67%	3.50%	
B03	State Government Guaranteed Loans	SGGL	244,614.12	5,326.07	2.18%	1.63%	243,560.39	9,748.58	4.00%	3.00%	221,864.72	11,688.72	5.27%	3.95%	
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,310.26	26.60	2.03%	1.52%	1,309.16	53.05	4.05%	3.03%	1,301.38	55.44	4.26%	3.19%	
<b>C</b>	<b>Housing &amp; Loans to State Govt. for Housing &amp; FFE</b>														
C05	Housing - Securitised Assets	HMBS	-	-	-	-	-	-	-	-	159.39	9.66	6.06%	4.54%	
C06	Debentures/Bonds/CPs/Loans - Promoter Group	HDPG	14,996.53	266.07	1.77%	1.33%	14,997.00	527.80	3.52%	2.63%	14,996.56	525.00	3.50%	2.62%	
C08	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	135,482.57	2,215.62	1.64%	1.22%	138,823.54	4,966.58	3.58%	2.68%	152,623.76	7,676.66	5.03%	3.77%	
C18	Reclassified Approved Investments - Debt	HORD	-	-	-	-	1,875.00	-	0.00%	0.00%	2,500.00	-	0.00%	0.00%	
<b>C</b>	<b>Infrastructure Investments</b>														
C20	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,141.64	64.25	3.00%	2.24%	2,141.64	64.25	3.00%	2.24%	2,604.65	(529.01)	-20.31%	-15.23%	
C21	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	11,781.46	67.50	0.57%	0.43%	11,781.46	67.50	0.57%	0.43%	8,687.38	48.78	0.56%	0.42%	
C26	Long Term Bank Bonds Approved Investment Infrastructure	ILBI	22,232.86	439.30	1.98%	1.48%	22,155.75	865.04	3.90%	2.92%	16,503.23	688.25	4.17%	3.13%	
C27	Infrastructure- PSU- debentures / Bonds	IPTD	287,450.46	4,987.18	1.73%	1.30%	304,922.35	11,704.20	3.84%	2.87%	306,403.63	11,776.15	3.84%	2.88%	
C28	Infrastructure - PSU - CPs	IPCP	-	-	-	-	9,812.88	66.29	0.68%	0.51%	9,879.71	75.27	0.76%	0.57%	
C29	Infrastructure - Other Corporate Securities - Debentures/Bonds	ICTD	4,130.67	121.34	2.94%	2.20%	4,405.32	265.34	6.02%	4.51%	3,846.54	184.40	4.79%	3.59%	
C33	Infrastructure- PSU- debentures / Bonds	IPFD	18,228.79	2,425.51	13.31%	10.48%	26,556.04	3,432.46	12.93%	10.68%	40,712.93	1,459.51	3.58%	3.58%	
C42	Reclassified Approved Investments - Debt	IORD	7,500.09	155.36	2.07%	1.55%	7,499.05	306.11	4.08%	3.05%	7,497.58	309.17	4.12%	3.09%	
<b>D</b>	<b>Approved Investment Subject To Exposure Norms</b>														
D01	PSU - Equity shares - Quoted	EAEQ	1,220.98	174.54	14.30%	10.70%	1,235.42	174.54	14.13%	10.57%	4,135.43	(1,797.98)	-43.48%	-32.59%	
D02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	105,864.73	12,954.04	12.24%	9.16%	102,873.51	20,808.92	20.23%	15.14%	97,256.87	2,533.54	2.61%	1.95%	
D05	Corporate Securities - Bonds - (Taxable)	EPBT	71,285.92	1,185.18	1.66%	1.24%	71,477.51	2,407.00	3.37%	2.52%	37,162.78	1,183.07	3.18%	2.39%	
D07	Corporate Securities - Preference Shares	EPNQ	3,298.36	66.77	2.02%	1.51%	3,298.88	131.55	3.99%	2.98%	3,297.98	21.94	0.67%	0.50%	
D09	Corporate Securities - Debentures	ECOS	187,740.38	2,748.31	1.46%	1.10%	186,947.13	6,116.43	3.27%	2.45%	139,768.68	5,504.96	3.94%	2.95%	
D10	Corporate Securities - Debentures/ Bonds/ Cps / Loan - Promoter group	EDPG	79,994.63	1,595.88	1.99%	1.49%	80,005.38	3,174.31	3.97%	2.97%	70,025.48	2,868.27	4.10%	3.07%	
D16	Deposits - Deposit with scheduled banks*( Incl. bank Balance awaiting investment), CCIL, RBI	ECDB	9,571.42	3.31	0.03%	0.03%	7,230.77	5.61	0.08%	0.06%	501.15	0.92	0.18%	0.14%	
D17	Deposits - CDs with Scheduled Banks	EDCD	9,759.31	101.32	1.04%	0.78%	9,711.57	201.54	2.08%	1.55%	29,117.68	843.87	2.90%	2.17%	
D18	Deposits - Repo / Reverse Repo - Govt Securities	ECMR	69,561.35	550.33	0.79%	0.59%	53,930.92	857.33	1.59%	1.19%	38,491.54	524.26	1.36%	1.02%	
D22	Commercial Papers	ECCP	19,985.78	25.86	0.13%	0.10%	19,985.78	25.86	0.13%	0.10%	-	-	-	-	
D25	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPPD	2,497.52	58.19	2.33%	1.74%	2,497.57	114.49	4.58%	3.43%	2,497.36	114.30	4.58%	3.43%	
D29	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	66,717.39	-	0.00%	0.00%	61,888.52	367.21	0.59%	0.44%	37,317.45	73.44	0.20%	0.15%	
D38	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	2,491.10	51.36	2.06%	1.54%	3,752.58	197.28	5.26%	3.93%	6,999.24	292.54	4.18%	3.13%	
D39	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	4,982.47	111.53	2.24%	1.68%	5,498.92	348.80	6.34%	4.75%	19,921.30	(1,427.12)	-7.16%	-5.37%	
<b>E</b>	<b>Other Investments</b>														
E03	Equity Shares (incl Co-op Societies)	OESH	147.29	26.60	18.06%	13.51%	116.27	71.75	61.71%	46.18%	-	-	-	-	
E04	Equity Shares (PSUs & Unlisted)	OEPU	7,003.13	236.07	3.37%	2.52%	5,679.14	294.12	5.18%	3.88%	4,358.23	(13.45)	-0.31%	-0.23%	
E10	Preference Shares	OPSH	-	-	-	-	-	-	-	-	4,220.31	135.36	3.21%	2.40%	
E19	Passively Managed Equity ETF (Non Promoter Group)	OETF	5,147.03	-	0.00%	0.00%	5,091.85	373.28	7.33%	5.49%	24,054.08	(137.57)	-0.57%	-0.43%	
E25	Reclassified Approved Investments - Debt	ORAD	-	-	-	-	-	-	-	-	7,690.94	2,625.67	34.14%	25.59%	
E26	Reclassified Approved Investments - Equity	ORAE	6,305.93	245.78	3.90%	2.92%	6,794.93	541.32	7.97%	5.96%	9,831.01	(1,482.11)	-15.08%	-11.30%	
	<b>TOTAL</b>		<b>2,327,731.72</b>	<b>54,165.32</b>	<b>2.33%</b>	<b>1.75%</b>	<b>2,306,409.79</b>	<b>102,242.30</b>	<b>4.43%</b>	<b>3.33%</b>	<b>1,949,086.86</b>	<b>78,521.37</b>	<b>4.03%</b>	<b>3.04%</b>	

**Note:** Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM shall be prepared in respect of each fund.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

6 Investment Regulations, as amended from time to time, to be referred