

PERIODIC DISCLOSURES
FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: **BAJAJ ALLIANZ GENERAL INSURANCE CO LIMITED**

Registration Number: **113**

Statement as on: **30 September 2021**

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

Periodicity of Submission: **Quarterly**

(Rs. in Lakhs)

Section I		SCH ++	AMOUNT
No	PARTICULARS		
1	Investments (Shareholders)	8	474,138.65
	Investments (Policyholders)	8A	1,908,054.69
2	Loans	9	-
3	Fixed Assets	10	42,880.47
4	Current Assets		-
	a. Cash & Bank Balance	11	34,332.87
	b. Advances & Other Assets	12	401,781.27
5	Current Liabilities		-
	a. Current Liabilities	13	(1,606,931.95)
	b. Provisions	14	(408,109.30)
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		-
Application of Funds as per Balance Sheet (A)			846,146.70
Less: Other Assets		SCH ++	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	42,880.47
3	Cash & Bank Balance (if any)	11	34,332.87
4	Advances & Other Assets (if any)	12	401,781.27
5	Current Liabilities	13	(1,606,931.95)
6	Provisions	14	(408,109.30)
7	Misc. Exp not Written Off	15	-
8	Investments held outside India		-
9	Debit Balance of P&L A/c		-
Total (B)			(1,536,046.64)
'Investment Assets'			(A-B) 2,382,193.34

Section II	No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
				Balance	FRSM*						
				(a)	(b)						
1	Central Govt. Securities	Not less than 20%		117,785.72	703,565.40	821,351.12	35.28%	-	821,351.12	831,050.22	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%		145,213.19	1,012,062.59	1,157,275.78	49.71%	-	1,157,275.78	1,184,319.13	
3	Investment subject to Exposure Norms			-	-	-	0.00%	-	-	-	
	a. Housing / Infra & Loans to SG for Housing and FFE			-	-	-	0.00%	-	-	-	
		Not less than 15%		110,168.06	389,953.04	500,121.10	21.48%	5,035.16	505,156.25	520,449.36	
	1. Approved Investments			2,500.74	5,001.48	7,502.23	0.32%	-	7,502.23	7,208.89	
	2. Other Investments			193,241.78	448,355.45	641,597.24	27.56%	47,836.80	689,434.03	696,234.15	
	b. Approved Investments	Not exceeding 55%		6,467.72	15,127.92	21,595.64	0.93%	1,229.39	22,825.03	22,825.03	
	c. Other Investments										
Investment Assets			100%	457,591.49	1,870,500.48	2,328,091.98	100.00%	54,101.35	2,382,193.32	2,431,036.56	

- Note:
- (+) FRSM refers 'Funds representing Solvency Margin'
 - Other Investments' are as permitted under 27A(2)
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
 - SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
 - Investment Regulations, as amended from time to time, to be referred

PERIODIC DISCLOSURES

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - B

Name of the Insurer: BAJAJ ALLIANZ GENERAL INSURANCE CO LIMITED

Registration Number: 113

Statement as on: 30 SEPTEMBER 2021

Statement of Accretion of Assets

(Rs. Lakhs)

(Business within India)

Periodicity of Submission : Quarterly

No	Category of Investments	COI	Opening Balance (A)	% to Opening Balance	NET ACCRETION FOR THE Qtr. (B)	% to Total Accrual	TOTAL (A+B)	% to Total
1	Central Govt. Securities							
A01	Central Government Bonds	CGSB	883,319.01	39.12%	-61,967.84	-88.29%	821,351.17	35.28%
A03	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	0.00%	-	0.00%	-	0.00%
A04	Treasury Bills	CTRB	0.00	0.00%	0.00	0.00%	0.00	0.00%
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (I) above)							
B01	Central Government Guaranteed Loans / Bonds	CGSL	97,145.57	4.30%	-12,638.17	-18.01%	84,507.39	3.63%
B03	State Government Guaranteed Loans	SGSL	250,094.91	11.08%	10.78	0.02%	250,105.69	10.74%
B04	Other Approved Securities (excluding Infrastructure Investments)	SGOA	1,309.57	0.06%	2.00	0.00%	1,311.56	0.06%
3	Investment subject to Exposure Norms							
	a. Housing & Loans to SG for Housing and FFE							
	1. Approved Investments							
C05	Housing - Securitised Assets	HMBS	-0.00	0.00%	-0.00	0.00%	-0.00	0.00%
C06	Debentures/Bonds/CPs/Loans - Promoter Group	HDPG	14,996.98	0.66%	-0.38	0.00%	14,996.60	0.64%
C08	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	133,817.30	5.93%	10,834.58	15.44%	144,651.88	6.21%
	2. Other Investments							
C18	Reclassified Approved Investments - Debt	HORD	0.00	0.00%	-	0.00%	0.00	0.00%
	b. Infrastructure Investments							
	1. Approved Investments							
C20	Infrastructure - PSU - Equity shares - Quoted	ITPE	2,141.64	0.09%	-0.00	0.00%	2,141.64	0.09%
C21	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	11,781.46	0.52%	-	0.00%	11,781.46	0.51%
C27	Long Term Bank Bonds Approved Investment Infrastructure	ILBI	22,067.95	0.98%	1,895.23	2.70%	23,963.18	1.03%
C28	Infrastructure- PSU- debentures / Bonds	IPTD	283,548.21	12.56%	7,885.09	11.23%	291,433.30	12.52%
C29	Infrastructure - PSU - CPs	IPCP	0.00	0.00%	0.00	0.00%	0.00	0.00%
C30	Infrastructure - Other Corporate Securities - Debentures/Bonds	ICTD	2,308.94	0.10%	1,209.11	1.72%	3,518.05	0.15%
C33	Infrastructure- PSU- debentures / Bonds	IPFD	26,800.54	1.19%	-19,165.58	-27.31%	7,634.96	0.33%
	2. Other Investments							
C42	Reclassified Approved Investments - Debt	IORD	7,498.10	0.33%	4.13	0.01%	7,502.23	0.32%
	c. Approved Investments							
D01	PSU - Equity shares - Quoted	EAEQ	1,249.38	0.06%	-1,249.38	-1.78%	0.00	0.00%
D02	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	103,683.73	4.59%	-4,616.33	-6.58%	99,067.39	4.26%
D05	Corporate Securities - Bonds - (Taxable)	EPBT	67,064.60	2.97%	-14.76	-0.02%	67,049.84	2.88%
D07	Corporate Securities - Preference Shares	EPNQ	3,298.81	0.15%	-0.60	0.00%	3,298.21	0.14%
D09	Corporate Securities - Debentures	ECOS	177,752.15	7.87%	22,176.37	31.60%	199,928.52	8.59%
D10	Corporate Securities - Debentures/ Bonds/ Cps / Loan - Promoter group	EDPG	80,004.03	3.54%	-16.49	-0.02%	79,987.54	3.44%
D16	Deposits - Deposit with scheduled banks (incl. bank balance availing investments), incl. RBI	ECDB	-	0.00%	-	0.00%	-	0.00%
D17	Deposits - CDs with Scheduled Banks	EDCD	9,721.38	0.43%	101.32	0.14%	9,822.69	0.42%
D18	Deposits - Repo / Reverse Repo - Govt Securities	ECMR	8,199.27	0.36%	85,072.45	121.21%	93,271.72	4.01%
D23	Application Money	ECAM	-	0.00%	-	0.00%	-	0.00%
D25	Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks	EPDP	2,497.33	0.11%	0.53	0.00%	2,497.86	0.11%
D29	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	49,500.00	2.19%	29,700.00	42.32%	79,200.00	3.40%
D32	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	0.00%	-	0.00%	-	0.00%
D38	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU Banks]	EAPS	2,491.10	0.11%	-	0.00%	2,491.10	0.11%
D39	Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private Banks]	EAPB	4,982.47	0.22%	-	0.00%	4,982.47	0.21%
	d. Other Investments (not exceeding 15%)							
E03	Equity Shares (incl Co-op Societies)	OESH	-	0.00%	0.00	0.00%	0.00	0.00%
E04	Equity Shares (PSUs & Unlisted)	OESU	4,250.00	0.19%	5,219.29	7.44%	9,469.29	0.41%
E10	Preference Shares	OPSH	-	0.00%	-	0.00%	-	0.00%
E19	Passively Managed Equity ETF (Non Promoter Group)	OETF	-0.00	0.00%	6,439.41	9.17%	6,439.41	0.28%
E26	Reclassified Approved Investments - Equity	ORAE	6,381.42	0.28%	-694.48	-9.99%	5,686.93	0.24%
E25	Reclassified Approved Investments - Debt	ORAD	-	0.00%	-	0.00%	-	0.00%
	Total		2257906	100.00%	70,186.25	100.00%	2,328,092.09	100.00%

Note:

1. Total (A+B), fund wise should tally with figures shown in Form 28 (Part A)

2. Investment Regulations, as amended from time to time, to be referred