

FORM NL-37-DOWN GRADING OF INVESTMENT-2



Company Name & Code : Bajaj Allianz General Insurance Company Ltd (Registration No 113)

Statement as on: 31 Dec 2020

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(Rs. In Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Downgrade	Date of Downgrade	Remarks
A:	During the quarter ¹								
B:	As on Date ²								
	8% YES BANK INFRA BOND 30 SEPT 2026	IORD	7,499	30-Sep-16	CARE	AA+	BBB	06-Mar-20	ICRA and CARE have both rated the holding as "BBB"
	8.90% DEWAN HSG FIN CORP LTD 04 June 2021	HORD	1,875	06-Jun-18	CARE	AAA	D	05-Jun-19	75% impairment provision made on gross exposure of Rs. 75 Cr
	10.70% DEWAN HSG FIN CORP LTD 5 Feb 2021	HORD	625	24-Aug-16	CARE	AAA	D	05-Jun-19	75% impairment provision made on gross exposure of Rs. 25 Cr

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 27 Jan 2021

Note

- 1 Provide details of Down Graded Investments during the Quarter
- 2 Investments currently upgraded, listed as downgraded during the earlier Quarter shall be deleted from the Cumulative listing
- 3 FORM-2 shall be prepared in respect of each fund
- 4 Category on Investment (COI) shall be as per INV/GLN/001/2003-04