

## FORM NL-37-DOWN GRADING OF INVESTMENT-2



Company Name & Code : Bajaj Allianz General Insurance Company Ltd (Registration No 113)

Statement as on: 31st Dec 2019

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(Rs. In Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Downgrade	Date of Downgrade	Remarks
<b>A :</b>	<u>During the quarter<sup>1</sup></u>								
	8% YES BANK INFRA BOND 30 SEPT 2026	IORD	7,496	30-Sep-16	ICRA	AA+	A	19-Dec-19	
<b>B:</b>	<u>As on Date<sup>2</sup></u>								
	8.6070% IDFC Ltd 19th Apr 2022	ICTD	2,507	17-Apr-15	ICRA	AAA	AA	21-May-19	
	8.63% IDFC February 18th Feb 2020	ICTD	4,999	18-Feb-15	ICRA	AAA	AA	21-May-19	
	8% YES BANK INFRA BOND 30 SEPT 2026	IOLB	7,496	30-Sep-16	ICRA	AA+	A	19-Dec-19	
	8.90% DEWAN HSG FIN CORP LTD 04 June 2021	HORD	2,962	06-Jun-18	CARE	AAA	D	05-Jun-19	
	10.70% DEWAN HSG FIN CORP LTD 5 Feb 2021	HORD	1,000	24-Aug-16	CARE	AAA	D	05-Jun-19	
	9.45% ECL Fin Ltd 06TH August 2021	ORAD	12,682	13-Aug-18	ICRA	AA	AA-	26-Jun-19	

## CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 24th Jan 2020

Amit Joshi  
(Chief Investment Officer)

## Note

- 1 Provide details of Down Graded Investments during the Quarter
- 2 Investments currently upgraded, listed as downgraded during the earlier Quarter shall be deleted from the Cumulative listing
- 3 FORM-2 shall be prepared in respect of each fund
- 4 Category on Investment (COI) shall be as per INV/GLN/001/2003-04